

5 March 2015

Ms Jane Tomkinson  
Chief Executive  
Liverpool Heart and Chest Hospital NHS Foundation  
Trust  
Trust Headquarters  
Thomas Drive  
Liverpool  
Merseyside  
L14 3PE



Making the health sector  
work for patients

Wellington House  
133-155 Waterloo Road  
London SE1 8UG

T: 020 3747 0000  
E: [enquiries@monitor.gov.uk](mailto:enquiries@monitor.gov.uk)  
W: [www.monitor.gov.uk](http://www.monitor.gov.uk)

Dear Jane

### **Q3 2014/15 monitoring of NHS foundation trusts**

Our analysis of your Q3 submissions is now complete. Based on this work, the Trust's current ratings are:

- |                                      |   |       |
|--------------------------------------|---|-------|
| • Continuity of services risk rating | - | 4     |
| • Governance risk rating             | - | Green |

These ratings will be published on Monitor's website later in March.

The Trust has been assigned a Green governance risk rating but has failed to meet the referral to treatment target for admitted patients, which we understand was a planned breach agreed with commissioners. The Trust has also declared a risk of failing this target in Q4.

Monitor uses the above target (among others) as indicators to assess the quality of governance at foundation trusts. A failure by a foundation trust to achieve the targets applicable to it could indicate that the Trust is providing health care services in breach of its licence. Accordingly, in such circumstances, Monitor could consider whether to take any regulatory action under the Health and Social Care Act 2012, taking into account as appropriate its published guidance on the licence and enforcement action including its Enforcement Guidance<sup>1</sup> and the Risk Assessment Framework<sup>2</sup>.

Monitor does not intend to take any further action at this stage. Should the Trust fail to meet the target in Q4 Monitor will consider the circumstances of the breach and what, if any, further regulatory action may be appropriate.

A report on the FT sector aggregate performance from Q3 2014/15 is now available on our website<sup>3</sup> which I hope you will find of interest.

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<sup>1</sup> [www.monitor-nhsft.gov.uk/node/2622](http://www.monitor-nhsft.gov.uk/node/2622)

<sup>2</sup> [www.monitor.gov.uk/raf](http://www.monitor.gov.uk/raf)

<sup>3</sup> <https://www.gov.uk/government/publications/nhs-foundation-trusts-quarterly-performance-report-quarter-3-201415>

We have also issued a press release<sup>1</sup> setting out a summary of the key findings across the FT sector from the Q3 monitoring cycle.

If you have any queries relating to the above, please contact me by telephone on 02037470352 or by email ([Tania.Openshaw@monitor.gov.uk](mailto:Tania.Openshaw@monitor.gov.uk)).

Yours sincerely

A handwritten signature in blue ink that reads "T Openshaw". The signature is written in a cursive style with a large initial 'T'.

**Tania Openshaw**  
**Senior Regional Manager**

cc: Mr Neil Large, Chairman  
Mr David Jago, Director of Finance

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<sup>1</sup> <https://www.gov.uk/government/news/nhs-foundation-trusts-tackle-rising-patient-demand>